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PATENT Attorney Docket No. TAM-001

THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT(S):

Fawcett et al.

SERIAL NO.:

10/712,076

GROUP NO.:

3629

FILING DATE:

November 13, 2003

EXAMINER:

Not yet assigned

TITLE:

Systems and Methods for Retrieving Data

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

SECOND SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with the provisions of 37 C.F.R. 1.97 and 1.98, Applicants hereby make of record the patent and publications listed on the accompanying Form PTO-1449, and other information contained herein, for consideration by the Examiner in connection with the examination of the above-identified patent application. In accordance with 37 C.F.R. 1.98(a)(2), only copies of the non-patent publications are enclosed.

REMARKS

In accordance with the provisions of 37 C.F.R. 1.97, this statement is being filed (CHECK ONE):

(1)	within three (3) months of the filing date of a national application other than a continued prosecution application under 37 C.F.R. 1.53(d), or within three (3) months of the date of entry of the national stage as set forth in 37 C.F.R. 1.491 in an international application, or before the mailing of the first Office action on the merits, or before the mailing of a first Office action after the filing of a request for continued examination under 37 C.F.R. 1.114; or					
(2)	after the period defined in (1) but before the mailing date of a final action or a notice of allowance under 37 C.F.R. 1.311, and					
	the requisite Statement is below, OR					
	the requisite fee under 37 C.F.R. 1.17(p), namely \$180.00, is included herein, or					

Second Suppl Serial No. 10 Page 2 of 2		formation Disclosure Statement							
(3) after the mailing date of a final action or notice of allowance but before the of the issue fee , AND									
•	tl	ne requisite Statement is below, AND							
	☐ tł	ne requisite petition fee under 37 C.F.R. 1.17(p), namely \$180.00 is included herein.							
It is re	espectfull	y requested that each of the patents and publications listed on the attached Form							
PTO-1449,	and othe	r information contained herein, be made of record in this application.							
		STATEMENT							
As rec	quired un	der 37 C.F.R. 1.97(e), Applicant(s), through the undersigned, hereby state either that							
[check the	appropr	iate space only if either (2) or (3) is checked on the previous page and the							
Statement	is requir	red]:							
	1.	Each item of information contained in the Information Disclosure Statement was							
		first cited in any communication from a foreign patent office in a counterpart							
		foreign application not more than three months prior to the filing of the							
		Information Disclosure Statement; or							
	2.	No item of information contained in the Information Disclosure Statement was							
		cited in a communication from a foreign patent office in a counterpart foreign							
		application, and, to the knowledge of the person signing this Statement after							
		making reasonable inquiry, no item of information contained in the Information							
		Disclosure Statement was known to any individual designated in 37 C.F.R.							
		1.56(c) more than three months prior to the filing of the Information							
		Disclosure Statement.							
		Respectfully submitted,							
Date: Marc	h <i>i 2</i> -200	000 8 la Viver							
Reg. No. 56		Joel B. Lehrer							
		Attorney for Applicants							
Tel. No.: (6	(17) 570 ₋ 1	Goodwin Procter LLP Exchange Place							
Fax No.: (6									
VER 11/03	•	Customer No. 051414							

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SECOND SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

ATTORNEY DOCKET NO.: TAM-001

APPLICANT(S): Fawcett et al.

					SERIAL NO.: 10/712,076							
						FILING DATE: November 13, 2003 GROUP: 3629						
			U.S.	PATEN	ΙΤΙ	OCUM	ENTS					
EXAM. INIT.		DOCUMENT NUMBER	DATE	NAME	NAME				SUB CLASS	FILING DATE IF APPROPRIATE		
	A38	6,618,732	09/09/03	White	e et al.			707	103	11/14/00		
			FOREIG	SN PAT	EN	T DOC	UMENT	S				
EXAM. INIT.				SUB CLASS	FILING DATE	ABSTRACT ONLY		ENGLISH LANG (Y/N)				
		C	THER AR	RT, JOU	RN	AL ART	ICLES,	ETC.	<u> </u>			
EXAM. INIT.	OTHER DOCUMENTS: (Including Author, Title, Date, Relevant Pages, Place of Publication)											
C11 International Search Report for PCT/US2004/038641 dated February 17, 2005.												
C12 Written Opinion of the International Searching Authority for PCT/US2004/038641								8641 dated	1 dated February 17, 2005.			
	C13	"KBMS-Prototype KRISYS User Manual Overview," December 1992, pages 1-94.										
	C14	Mattos et al., "Grand Tour of Concepts for Object-Orientation from a Database Point of View," Data & Knowledge Engineering, vol. 9, no. 3, 1992, pages 321-352.										
C15 Pollitt et al., "HIBROWSE: Adding the Power of Relational Databases to the Traditional IR A The Future for Graphic User Interfaces," Information Retrieval. New Systems and Current Re Proceedings of the Research Colloquium of the British Computer Society Systems and Curren March 29 1993.									nt Res	earch.		
	C16	Zhang et al., "On a Buzzword "Extensibility" – What We Have Learned from the ORIENT Project?" Database Engineering and Applications, 1999. Ideas '99. International Symposium Proceedings Montreal, Que., Canada 2-4 Aug. 1999, Los Alamitos, CA, USA, IEEE Comput. Soc. US, August 2, 1999, pages 360- 369										
EXAMIN	ER	/Gabrielle Mo	cormick/			DATE CO	ONSIDER	ED 11	/18/2008	}		

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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FILING DATE:

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EXAMINER:

Not yet assigned

TITLE:

Systems and Methods for Retrieving Data

CERTIFICATE OF FIRST CLASS MAILING UNDER 37 C.F.R. 1.8

I hereby certify that this correspondence, and any document(s) referred to as enclosed herein, is/are being deposited with the United States Postal Service as first class mail, postage prepaid, in an envelope addressed to Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this day of March, 2005.

Susan Boucher

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Submitted herewith is/are:

- 1. Transmittal Form (1 page);
- 2. Second Supplemental Information Disclosure Statement (2 pages);
- 3. Form PTO 1449 (1 page);
- 4. Copies of cited references C11-C16; and
- 5. Return-receipt postcard.

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/0,	- K)		Application	Serial Number	10/7	10/712,076			
21	2005	\	Filing Date	Filing Date		ember 13, 2003			
MAR 2.		7	First Named Inventor		Faw	cett			
TRANGAI		T.	Group Art Unit		3629)			
	F		Examiner N	ame	Not	yet assigned			
FORM	√l		Attorney Do	Attorney Docket No.		1-001			
			Patent No.	atent No.		applicable			
			Issue Date	Issue Date		applicable			
ENCLOSURES (check all that apply)									
					$\overline{}$	Nation of Assessing Document			
Fee Transmittal Form			Parts of Applic	e to File Missing cation		Notice of Appeal to Board of Patent Appeals and Interferences			
☐ Check Attached☐ Copy of Fee Transmittal Form			Formal Drawin	ng(s)		Appeal Brief (in triplicate)			
Amendment/Response	e		Request For C Examination (I			Status Inquiry			
☐ Preliminary ☐ After Final			Transmittal		\boxtimes	Return Receipt Postcard			
☐ Affidavits/declara☐ Letter to Official	` '		Power of Attorney (Revocation of Prior Powers)		\boxtimes	Certificate of First Class Mailing			
Draftsperson			(ICCVOCATION OF	Revocation of Frior Fowers)		under 37 C.F.R. 1.8			
including Drawings [Total Sheets]			Terminal Discl	aimer		Certificate of Facsimile Transmission under 37 C.F.R. 1.8			
Petition for Extension Time	of			aration and Power Utility or Design		Additional Enclosure(s) (please identify below)			
Second Supplemental Information Disclosure Statement		Small Entity Statement							
Form PTO-144 Copies of Seco Supp. IDS Citations (C1	nd		CD(s) for large	table or computer					
Certified Copy of Prio	rity	Amendment A		fter Allowance					
Document(s) Sequence Listing submission Paper Copy/CD Computer Readable Copy Statement verifying identity of above			Request for Ce	rtificate of					
			Correction Certificate duplicate)	of Correction (in					
CORRESPONDENCE ADDR	ESS			SIGNATURE BLO	OCK				
•	ministrator Procter LL Place 1A 02109 (617) 570- (617) 523- No. 05141	P 1000 1231	Respectfully submitted, Date: March 17,2005 Reg. No. 56,401 Tel. No.: (617) 570-1057 Fax No.: (617) 523-1231 Respectfully submitted, Jol Electron Jol E. Lehrer Attbrney for Applicant Goodwin Procter LLP						
	- 45.011101		•			Exchange Place			

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